

Dealer Acknowledgement and Undertaking

Regarding Personally Identifiable Information (PII)

Effective Date:

Dealer Legal Name: _____

Authorized Representative Name & Title: _____

Email: _____

1. Background As part of its responsibilities in connection with a securities offering or investor relationship management, the undersigned dealer ('Dealer') may receive access to personally identifiable information ('PII') of individuals through the addy platform operated by addy Technology Corp. ('addy'). PII may include, but is not limited to: names, contact details, social insurance numbers, financial data, investment records, and other information that could reasonably be used to identify an individual.

2. Acknowledgement of Obligations

By signing below, the Dealer acknowledges and agrees that:

1. It has read and understood the Dealer Policy on the Protection of Personally Identifiable Information (PII);
2. It is solely responsible for ensuring all personnel comply with the Policy;
3. It shall only access or use PII as required for lawful and disclosed purposes;
4. It shall store and transmit PII using secure, encrypted, and policy-compliant methods;
5. It shall report any suspected or actual breach of PII to compliance@addyinvest.com immediately;
6. It understands that violations may result in suspension, termination, or legal consequences.

3. Undertaking

The Dealer undertakes to:

- Comply with the Policy and applicable Canadian privacy laws;
- Safeguard all PII using appropriate security practices;
- Limit access to PII to only authorized personnel;
- Destroy or anonymize PII when no longer legally required.

4. Certification

The Dealer certifies that the undersigned has the authority to bind the organization and accepts full responsibility for the secure handling of PII accessed through the addy platform.

Authorized Signature: _____

Name: _____ Title: _____

Date: _____